



Moldova

Request for Proposal (RFP)

Ref. no. RfP11/00454

Date: 25 October 2011

Dear Sir/Madam,

Subject: RFP for the Development of the Information Security Policy of the Parliament of Moldova

1. You are requested to submit a proposal for **developing the Information Security Policy of the Parliament of Moldova**, as per enclosed Terms of Reference (TOR).
2. To enable you to submit a proposal, attached are:
 - i. Instructions to Offerors (Annex I)
 - ii. General Conditions of Contract (Annex II)
 - iii. Terms of Reference (TOR) (Annex III)
 - iv. Proposal Submission Form (Annex IV)
 - v. Price Schedule/Financial Proposal (Annex V)
3. Your offer comprising of technical proposal and price schedule/financial proposal, in separate sealed envelopes, marked with **“RFP: Information Security Policy of the Parliament of Moldova”** should reach the UNDP office no later than **8 November 2011, 12:30 hours**, local time.

Offers can be submitted either in hard copy or electronically.

a) Documents/offers in hard copy need to be addressed to:

**UNDP Moldova,
131, 31 August 1989 Street, MD-2012 Chisinau, Republic of Moldova
Attention: Registry Office/Service Center**

b) Offers sent electronically need to be addressed to the following e-mail address:

tenders-Moldova@undp.org

Offers shall be clearly marked with **“RFP: Information Security Policy of the Parliament of Moldova”**

Contact person for clarifications: **Sergiu Galitchi**, Project Manager, UNDP “Support to Parliamentary Development” Project (sergiu.galitchi@undp.org).

4. If you request additional information, we would endeavour to provide information expeditiously, but any delay in providing such information will not be considered a reason for extending the submission date of your proposal.
5. You are requested to acknowledge receipt of this letter and to indicate whether or not you intend to submit a proposal.

Yours sincerely,

Matilda Dimovska
Resident Representative a.i.

Instructions to Offerors

A. Introduction

1. General

The Parliament of the Republic of Moldova has started with the implementation of the Information Management System Strategy 2011-2015 (ICT Strategy). A part of the activities foreseen to be implemented in 2011 is the development and implementation of a Policy for information security and access to information.

Information is an asset of great value to the Parliament of the Republic of Moldova. The functioning of this institution is based on information systems, workflows and the flows of complex documents for both internal business processes and processes of interaction with third parties. An important part of the information held by the subdivisions of the Parliament of the Republic of Moldova is sensitive and must be managed and protected appropriately.

In the context of the ICT Strategy 2011-2015, UNDP Moldova announces a public tender for the selection of IT consulting company to provide advisory services to the Parliament of the Republic of Moldova, in order to develop and implement a Policy for information security.

2. Cost of proposal

The Offeror shall bear all costs associated with the preparation and submission of the Proposal, the UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the solicitation.

B. Solicitation Documents

3. Contents of solicitation documents

Proposals must offer services for the total requirement. Proposals offering only part of the requirement will be rejected. The Offeror is expected to examine all corresponding instructions, forms, terms and specifications contained in the Solicitation Documents. Failure to comply with these documents will be at the Offeror's risk and may affect the evaluation of the Proposal.

4. Clarification of solicitation documents

A prospective Offeror requiring any clarification of the Solicitation Documents may notify the procuring UNDP entity in writing at the organisation's mailing address or fax number indicated in the RFP. The procuring UNDP entity will respond in writing to any request for clarification of the Solicitation Documents that it receives earlier than two weeks prior to the deadline for the submission of Proposals. Written copies of the organisation's response (including an explanation of the query but without identifying the source of inquiry) will be sent to all prospective Offerors that has received the Solicitation Documents.

5. Amendments of solicitation documents

At any time prior to the deadline for submission of Proposals, the procuring UNDP entity may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective Offeror, modify the Solicitation Documents by amendment.

All prospective Offerors that have received the Solicitation Documents will be notified in writing of all amendments to the Solicitation Documents.

In order to afford prospective Offerors reasonable time in which to take the amendments into account in preparing their offers, the procuring UNDP entity may, at its discretion, extend the deadline for the submission of Proposals.

C. Preparation of Proposals

6. Language of the proposal

The Proposals prepared by the Offeror and all correspondence and documents relating to the Proposal exchanged by the Offeror and the procuring UNDP entity shall be written in the English language. Any printed literature furnished by the Offeror may be written in another language so long as accompanied by an English translation of its pertinent passages in which case, for purposes of interpretation of the Proposal, the English translation shall govern.

7. Documents comprising the proposal

The Proposal shall comprise the following components:

- (a) Proposal submission form (Annex IV);
- (b) Operational and technical part of the Proposal, including documentation to demonstrate that the Offeror meets all requirements;
- (c) Price schedule/financial proposal, completed in accordance with clauses 9 and 10 (Annex V).

8. Operational and technical documentation

The operational and technical part of the Proposal shall contain the following documents:

1. **Company profile** emphasizing the experience in the field of developing information security policies, portfolio of clients whom services similar to those requested under this RFP have been delivered to over the last 3 years;
2. **Copy of registration certificate;**
3. **Work plan and approach** (detailed description of activities, timeframe);
4. Letters of recommendation;
5. **CVs of proposed staff;**
6. **Detailed Budget** (presented in a separate envelope, as described in the 'Instructions to Offerors' Section).
7. **Other relevant documents.**

9. Proposal form

The Offeror shall structure the operational and technical part of its Proposal as follows:

(a) Management plan

This section should provide corporate orientation to include the year and state/country of incorporation and a brief description of the Offeror's present activities. It should focus on services related to the Proposal.

This section should also describe the organisational unit(s) that will become responsible for the contract, and the general management approach towards a project of this kind. The Offeror should comment on its experience in similar projects and identify the person(s) representing the Offeror in any future dealing with the procuring UNDP entity.

(b) Resource plan

This should fully explain the Offeror's resources in terms of personnel and facilities necessary for the performance of this requirement. It should describe the Offeror's current capabilities/facilities and any plans for their expansion.

(c) Proposed methodology

This section should demonstrate the Offeror's responsiveness to the specification by identifying the specific components proposed, addressing the requirements, as specified, point by point; providing a detailed description of the essential performance characteristics proposed warranty; and demonstrating how the proposed methodology meets or exceeds the specifications.

The operational and technical part of the Proposal should not contain any pricing information whatsoever on the services offered. Pricing information shall be separated and only contained in the appropriate Price Schedules.

It is mandatory that the Offeror's Proposal numbering system corresponds with the numbering system used in the body of this RFP. All references to descriptive material and brochures should be included in the appropriate response paragraph, though material/documents themselves may be provided as annexes to the Proposal/response.

Information which the Offeror considers proprietary, if any, should be clearly marked "proprietary" next to the relevant part of the text and it will then be treated as such accordingly.

10. Proposal prices

The Offeror shall indicate on an appropriate Price Schedule/Financial Proposal, an example of which is contained in these Solicitation Documents, the prices of services it proposes to supply under the contract.

11. Proposal currencies

All prices shall be quoted in **Moldovan Lei** and shall be exclusive of VAT. For comparison purposes, all other currencies shall be converted into **Moldovan Lei** using the UN Operational Rate of Exchange on the day of the competition deadline.

12. Period of validity of proposals

Proposals shall remain valid for sixty (60) days after the date of Proposal submission prescribed by the procuring UNDP entity, pursuant to the deadline clause. A Proposal valid for a shorter period may be rejected by the procuring UNDP entity on the grounds that it is non-responsive.

In exceptional circumstances, the procuring UNDP entity may solicit the Offeror's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing. An Offeror granting the request will not be required nor permitted to modify its Proposal.

13. Format and signing of proposals

The Offeror shall prepare two copies of the Proposal, clearly marking each "Original Proposal" and "Copy of Proposal" as appropriate. In the event of any discrepancy between them, the original shall govern.

The two copies of the Proposal shall be typed or written in indelible ink and shall be signed by the Offeror or a person or persons duly authorised to bind the Offeror to the contract. The latter authorisation shall be indicated by written power-of-attorney accompanying the Proposal.

A Proposal shall contain no interlineations, erasures, or overwriting except, as necessary to correct errors made by the Offeror, in which case such corrections shall be initialled by the person or persons signing the Proposal.

14. Payment

UNDP shall effect payments to the Contractor after acceptance by UNDP of the invoices submitted by the contractor, upon achievement of the corresponding milestones.

D. Submission of Proposals

15. Sealing and marking of proposals

The Offeror shall seal the Proposal in one outer and two inner envelopes, as detailed below.

(a) The outer envelope shall be:

addressed to –

UNDP Moldova
131, 31 August 1989 Street, MD-2012 Chisinau, Republic of Moldova
Attention: UNDP Registry Office/Procurement

and,

marked with –

“RFP: Information Security Policy of the Parliament of Moldova”

- (b) Both inner envelopes shall indicate the name and address of the Offeror. The first inner envelope shall contain the information specified in Clause 8 (*Operational and technical documentation*) and in Clause 9 (*Proposal form*) above, with the copies duly marked “Original” and “Copy”. The second inner envelope shall include the price schedule/financial proposal duly identified as such.

Note, if the inner envelopes are not sealed and marked as per the instructions in this clause, the procuring UNDP entity will not assume responsibility for the Proposal’s misplacement or premature opening.

- (c) In case of electronic submission, the Offeror shall send two messages by e-mail to the following address: tenders-Moldova@undp.org

Having prepared the Proposal in paper format as specified in Clause “D. Submission of Proposals”, hereof, the entire Proposal should be scanned or otherwise converted into one or more electronic .pdf (Adobe Acrobat) format files and attached to two e-mail messages. The first e-mail message shall contain the information specified in Clause 8 (*Operational and technical documentation*) and Clause 9 (*Proposal form*) above and shall have the following subject: “**Technical Proposal for RFP: Information Security Policy of the Parliament of Moldova**”. The second e-mail message shall include the price schedule/financial proposal and shall have the following subject: “**Financial Proposal for RFP: Information Security Policy of the Parliament of Moldova**” - DO NOT OPEN IN ADVANCE. The opening of the financial proposal must be secured with the password protected ZIP archive by the Offeror, which will be given to the procuring UNDP entity upon its request after the completion of the technical proposal evaluation.

To assist procuring UNDP entity in the assurance of transparency, it is recommended that, prior to sending the Email(s), Offerors should open “Options”, then “Voting and Tracking Options” and select “Request a delivery receipt for this message” AND “Request a read receipt for this message”. This option path is for Microsoft Office Outlook software. Other software should offer similar options, although the path and wording might be somewhat different.

16. Deadline for submission of proposals

Proposals must be received by the procuring UNDP entity at the address or e-mail address specified under clause *Sealing and marking of Proposals* no later than **8 November 2011, 12:30 hours**, local time.

The procuring UNDP entity may, at its own discretion extend this deadline for the submission of Proposals by amending the solicitation documents in accordance with clause *Amendments of Solicitation Documents*, in which case all rights and obligations of the procuring UNDP entity and Offerors previously subject to the deadline will thereafter be subject to the deadline as extended.

17. Late Proposals

Any Proposal received by the procuring UNDP entity after the deadline for submission of proposals, pursuant to clause *Deadline for the submission of proposals*, will be rejected.

18. Modification and withdrawal of Proposals

The Offeror may withdraw its Proposal after the Proposal's submission, provided that written notice of the withdrawal is received by the procuring UNDP entity prior to the deadline prescribed for submission of Proposals.

The Offeror's withdrawal notice shall be prepared, sealed, marked, and dispatched in accordance with the provisions of clause *Deadline for Submission of Proposals*. The withdrawal notice may also be sent by telex or fax but followed by a signed confirmation copy.

No Proposal may be modified subsequent to the deadline for submission of proposals.

No Proposal may be withdrawn in the Interval between the deadline for submission of proposals and the expiration of the period of proposal validity specified by the Offeror on the Proposal Submission Form.

E. Opening and Evaluation of Proposals

19. Opening of proposals

The procuring entity will open the Proposals in the presence of a Committee formed by the Head of the procuring UNDP entity.

20. Clarification of proposals

To assist in the examination, evaluation and comparison of Proposals, the Purchaser may at its discretion, ask the Offeror for clarification of its Proposal. The request for clarification and the response shall be in writing and no change in price or substance of the Proposal shall be sought, offered or permitted.

21. Preliminary examination

The Purchaser will examine the Proposals to determine whether they are complete, whether any computational errors have been made, whether the documents have been properly signed, and whether the Proposals are generally in order.

Arithmetical errors will be rectified on the following basis: If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected. If the Offeror does not accept the correction of errors, its Proposal will be rejected. If there is a discrepancy between words and figures the amount in words will prevail.

Prior to the detailed evaluation, the Purchaser will determine the substantial responsiveness of each Proposal to the Request for Proposals (RFP). For purposes of these Clauses, a substantially responsive Proposal is one which conforms to all the terms and conditions of the RFP without material deviations. The Purchaser's determination of a Proposal's responsiveness is based on the contents of the Proposal itself without recourse to extrinsic evidence.

A Proposal determined as not substantially responsive will be rejected by the Purchaser and may not subsequently be made responsive by the Offeror by correction of the non-conformity.

22. Evaluation and comparison of proposals

A two-stage procedure will be utilised in evaluating the proposals, with evaluation of the technical proposal being completed prior to any price proposal being opened and compared. The price schedule/financial proposal of the Proposals will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 700 points in the evaluation of the technical proposals.

The technical proposal is evaluated on the basis of its responsiveness to the Term of Reference (TOR) and the Instructions to Offerors.

In the Second Stage, the price proposal of all contractors, who have attained minimum 70% score in the technical evaluation, will be compared. The **cumulative analysis scheme** will be applied with a total score being obtained upon the combination of weighted technical and financial attributes. An Offeror's response to the solicitation document is evaluated and points are attributed based on how well they meet the defined desirable criteria. Cost under this method of analysis is rendered as an award criterion, which will be 30% out of a total score of 1000 of all the desirable factors of the RFP. The contract will be awarded to the offeror obtaining the highest cumulative score. The following formula will be applied in calculating the cumulative score:

$$B = T + \frac{C_{low}}{C} \times 300,$$

where

T – is the total technical score awarded to the evaluated proposal;

C – is the price of the evaluated proposal; and

C_{low} – is the lowest of all evaluated proposal prices among responsive proposals.

Technical Evaluation Criteria

Summary of Technical Proposal Evaluation Forms		Score Weight	Points Obtainable	Company / Other Entity				
				A	B	C	D	E
1.	Expertise of Firm / Organisation submitting Proposal	30%	210					
2.	Proposed Work Plan and Approach	50%	350					
3.	Personnel	20%	140					
Total			700					

Evaluation forms for technical proposals follow on the next two pages. The obtainable number of points specified for each evaluation criterion indicates the relative significance or weight of the item in the overall evaluation process. The Technical Proposal Evaluation Forms are:

Form 1: Expertise of Firm / Organisation Submitting Proposal

Form 2: Proposed Work Plan and Approach

Form 3: Personnel

Technical Proposal Evaluation Form 1			Points obtainable	Company / Other Entity					
				A	B	C	D	E	
Expertise of firm / organisation submitting proposal									
1.1	Reputation of Organisation and Staff (Competence / Reliability)		50						
1.2	Technical capacities of the offeror to ensure high-quality of developed documents;		15						
1.3	General Organisational Capability which is likely to affect implementation (i.e. loose consortium, holding company or one firm, size of the firm / organisation, strength of project management support e.g. project financing capacity and project management controls)		30						
1.4	Extent to which any work would be subcontracted (subcontracting carries additional risks which may affect project implementation, but properly done it offers a chance to access specialised skills.		15						
1.5	Relevance of:		100						
	-	Specialised Knowledge							40
	-	Experience on Similar Program/Projects							40
	-	Work for UNDP/ major multilateral/ or bilateral programmes							20
			210						

Technical Proposal Evaluation Form 2			Points Obtainable	Company / Other Entity				
				A	B	C	D	E
Proposed Work Plan and Approach								
2.1	To what degree does the Offeror understand the task?		30					
2.2	Have the important aspects of the task been addressed in sufficient detail?		20					
2.3	Are the different components of the project adequately weighted relative to one another?		20					
2.4	Is the proposal based on a preliminary research concerning Parliament's functionality and was this data input properly used in the preparation of the proposal?		50					
2.5	Is the conceptual framework adopted appropriate for the task?		50					
2.6	Is the scope of task well defined and does it correspond to the TOR?		110					
2.7	Is the presentation clear and is the sequence of activities and the planning logical, realistic and promise efficient implementation to the project?		70					
			350					

Technical Proposal Evaluation Form 3			Points Obtainable	Company / Other Entity				
				A	B	C	D	E
Personnel								
3.1	<i>Task Manager</i>		75					
	Sub-Score							
	General Qualification		75					
	Education and general	15						

	qualifications								
	Prior experience of team/group leader in undertaking similar tasks	25							
	Certified specialist ISO 27001 LA or CISM	15							
	more than 5 years of professional experience in developing and providing consultancy in ICT area	10							
	- Language Qualifications	10							
3.2	<i>Team members/experts</i>			65					
			Sub-Score						
	General Qualification		65						
	Education and general qualifications	15							
	Prior experience of undertaking similar tasks	30							
	Certified specialist ISO 27001 LA or CISM								
	More than 3 years of professional experience in developing and providing consultancy in ICT area	10							
	- Language Qualifications	10							
	Total Part 3			140					

F. Award of Contract

23. Award criteria, award of contract

The procuring UNDP entity reserves the right to accept or reject any Proposal, and to annul the solicitation process and reject all Proposals at any time prior to award of contract, without thereby incurring any liability to the affected Offeror or any obligation to inform the affected Offeror or Offerors of the grounds for the Purchaser's action.

Prior to expiration of the period of proposal validity, the procuring UNDP entity will award the contract to the qualified Offeror whose Proposal after being evaluated is considered to be the most responsive to the needs of the organisation and activity concerned.

24. Purchaser's right to vary requirements at time of award

The Purchaser reserves the right at the time of award of contract to vary the quantity of services and goods specified in the RFP without any change in price or other terms and conditions.

25. Signing of the contract

Within 30 days of receipt of the contract the successful Offeror shall sign and date the contract and return it to the Purchaser.

Failure of the successful Offeror to comply with the requirement of Clause 25 shall constitute sufficient grounds for the annulment of the award and forfeiture of the Proposal security if any, in which event the Purchaser may make the award to the next lowest evaluated Offeror or call for new Proposals.

General Conditions of Contract

1. LEGAL STATUS

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis UNDP. The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2. SOURCE OF INSTRUCTIONS

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action which may adversely affect UNDP or the United Nations and shall fulfil its commitments with the fullest regard to the interests of UNDP.

3. CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4. ASSIGNMENT

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5. SUB-CONTRACTING

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform with the provisions of this Contract.

6. OFFICIALS NOT TO BENEFIT

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7. INDEMNIFICATION

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8. INSURANCE AND LIABILITIES TO THIRD PARTIES

- 8.1 The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- 8.2 The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or its equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.3 The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment

owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

8.4 Except for the workmen's compensation insurance, the insurance policies under this Article shall:

- (i) Name UNDP as additional insured;
- (ii) Include a waiver of subrogation of the Contractor's rights to the insurance carrier against UNDP;
- (iii) Provide that UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

8.5 The Contractor shall, upon request, provide UNDP with satisfactory evidence of the insurance required under this Article.

9. ENCUMBRANCES/LIENS

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10. TITLE TO EQUIPMENT

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11. COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS

UNDP shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights, and trademarks, with regard to products, or documents and other materials which bear a direct relation to or are produced or prepared or collected in consequence of or in the course of the execution of this Contract. At the UNDP's request, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them to UNDP in compliance with the requirements of the applicable law.

12. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or the United Nations, or any abbreviation of the name of UNDP or the United Nations in connection with its business or otherwise.

13. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

13.1 All maps, drawings, photographs, mosaics, plans, reports, recommendations, estimates, documents and all other data compiled by or received by the Contractor under this Contract shall be the property of UNDP, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of work under this Contract.

13.2 The Contractor may not communicate at any time to any other person, Government or authority external to UNDP, any information known to it by reason of its association with UNDP which has not been made public except with the authorization of UNDP; nor shall the Contractor at any time use such information to private advantage. These obligations do not lapse upon termination of this Contract.

14. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

14.1 Force majeure, as used in this Article, means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force which are beyond the control of the Parties.

14.2 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event which interferes or threatens to interfere with its performance of this Contract. The notice shall include steps proposed by the Contractor to be taken including any reasonable alternative means for performance that is not prevented by force majeure. On receipt of the notice required under this Article, UNDP shall take such action as, in its sole

discretion, it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract

- 14.3 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

15. TERMINATION

- 15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16 "Settlement of Disputes" below shall not be deemed a termination of this Contract.
- 15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract. The Contractor shall take immediate steps to terminate the work and services in a prompt and orderly manner and to minimize losses and further expenditures.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, UNDP may, without prejudice to any other right or remedy it may have, terminate this Contract forthwith. The Contractor shall immediately inform UNDP of the occurrence of any of the above events.

16. SETTLEMENT OF DISPUTES

16.1. Amicable Settlement

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

16.2. Arbitration

Unless, any such dispute, controversy or claim between the Parties arising out of or relating to this Contract or the breach, termination or invalidity thereof is settled amicably under the preceding paragraph of this Article within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, such dispute, controversy or claim shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining, including its provisions on applicable law. The arbitral tribunal shall have no authority to award punitive damages. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

17. PRIVILEGES AND IMMUNITIES

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18. TAX EXEMPTION

- 18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with UNDP to determine a mutually acceptable procedure.
- 18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNDP before the payment thereof and UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19. CHILD LABOUR

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20. MINES

20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21. OBSERVANCE OF THE LAW

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22. AUTHORITY TO MODIFY

No modification or change in this Contract, no waiver of any of its provisions or any additional contractual relationship of any kind with the Contractor shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the authorized official of UNDP.

Terms of Reference (TOR)

(a) OBJECTIVE:

Selection of a specialized company for developing the Information Security Policy of the Parliament of Moldova.

(b) BACKGROUND:

The Parliament of the Republic of Moldova has started with the implementation of the Information Management System Strategy 2011-2015 (ICT Strategy). A part of the activities foreseen to be implemented in 2011 is the development and implementation of a Policy for information security and access to information.

Information is an asset of great value to the Parliament of the Republic of Moldova. The functioning of this institution is based on information systems, workflows and the flows of complex documents for both internal business processes and processes of interaction with third parties. An important part of the information held by the subdivisions of the Parliament of the Republic of Moldova is sensitive and must be managed and protected appropriately.

In the context of the ICT Strategy 2011-2015, UNDP Moldova announces a public tender for the selection of IT consulting companies to provide advisory services to the Parliament of the Republic of Moldova, in order to develop and implement a Policy for information security.

(c) SCOPE OF WORK AND EXPECTED OUTPUT:

The primary objective of the development and implementation of a Policy for information security is to establish an effective Information Security Management System in the Parliament of the Republic of Moldova, capable of ensuring that the information and information resources can be accessed only by authorized entities and only within the authorized limit, and the security risks can be acknowledged and managed appropriately.

Methodology:

In order to implement the foreseen activities under the present Terms of Reference, the requirements set out in the following set of standards will be used: ISO / IEC 27000 (ISO / IEC 27001:2005, ISO / IEC 27002:2008, ISO / IEC 27003:2010, ISO / IEC 27 004 : 2009, ISO / IEC 27005:2011, ISO / IEC 27006:2007.

Timeframe

The entire assignment has to be finalized latest **20 February, 2012**.

Required Activities

In order to achieve the objectives set for an Information Security Management System for the Parliament of the Republic of Moldova, the selected company offering advisory services on the information security management will deliver the following services:

1. Audit of the Information Security Management System. The evaluation of the Information Security Management System in terms of effectiveness in relation to the needs of the Parliament of the Republic of Moldova, as well as in terms of compliance to ISO / IEC 27001:2005. Formulate specific recommendations for improving the Information Security Management System.

2. Develop the Information Security Policy. Identify specific objectives of the Parliament of the Republic of Moldova regarding information security. Develop a mechanism of establishment of high-level directives and principles for information security management.

3. Develop Information Security Standards. The identification of detailed safety standards and practices applicable to the Parliament of the Republic of Moldova, their adaptation to the activities and technology specific for this institution.

4. Train the Parliament of Moldova staff. Advise on establishment of target groups and develop specific training programs. Conduct of training sessions for each target group. Three target groups are estimated in advance:

- a. *Advanced* (10 users with a 24-hour training program);
- b. *Leaders* (10 users with an 8-hour training program);
- c. *Users* (30 users with a 4-hour training program).

5. Define and Organize the Basic Processes in the framework of the Information Security Management System. Develop a Regulation on information security management which will refer to:

- a. The management of the information resources;
- b. The control of the access to the information system;
- c. The management of incidents and amendments.

6. Analyze the Information Security Risks. Develop specific procedures for assessing information security risks.

7. Develop a Plan for the Continuity and Restoration of the Information System, to include:

- An impact analysis for the information subsystems of the Parliament of the Republic of Moldova
- The establishment of continuity requirements for the information subsystems based on the needs for the activity of the Parliament of the Republic of Moldova.
- An analysis of the risks of interruptions in information subsystems.
- A Policy of managing the backup copies (the inventory of information resources that fall within the policy coverage, the establishment of RPO (Recovery Point Objective) indicators, the establishment of backup procedures applied to each resource, the establishment of the general procedure for the management of backup copies, including offsite storage, testing, etc.).
- An analysis of risks that can lead to failures in information systems, the identification of necessary measures that need to be implemented in order to prevent and to prepare for incidents, the establishment of procedures that will be applied for each risk scenario separately.

Deliverables:

As a result of advisory services, the selected company will develop and deliver for the Parliament of the Republic of Moldova the following documents:

- 1. Audit Report of the Information Security Management System performed.** The report needs to include the conclusions of the auditors regarding the effectiveness of ISMS in relation to the needs of the institution, as well as the level of conformity to ISO / IEC 27001:2005 (based on GAP analysis with all applicable requirements of the standard). The report needs to include auditing recommendations with a view to improving the ISMS in order to meet the objective needs of the institution.

Timeframe: weeks 1-3

- 2. Information Security Policy delivered.** *Timeframe: week 4*

3. **Information security rules/standards.** The document of the information security standards meant for persons responsible for the areas of jurisdiction within the Parliament of the Republic of Moldova. Taken from the security standards as the Guide for the employee to information security.

Timeframe: week 5

4. **Training programs for three target groups delivered:**

- a. Advanced (IT staff, responsible for the information security, physical security).
- b. Leaders (heads of subdivisions and their deputies, the top management).
- c. Users (all employees, MPs, users who interact directly with the information system of the Parliament).

Timeframe: weeks 6-7

5. **Set of documents that form the internal legal basis for the functioning of the Information Security Management System developed*.** *Timeframe: weeks 8-9*

***Note: The set of documents will include:**

- a. A regulation regarding the information security management, which should establish internal roles and responsibilities and the related internal process, compatible with ISO / IEC 27001:2005;
 - b. A regulation regarding the access to information and information systems (will include the internal classifier of the information, the process of identification and classification of the information, the standards for the management of the classified information, the management process of the access to information and information systems);
 - c. The procedure for the management of information resources (will include the procedure of classification of the information in the Parliament of the Republic of Moldova and management of the classified information, the management procedure of other information resources, such as the software, IT services, computer hardware, system utilities, rooms, etc.)
 - d. A procedure for managing changes in the information system;
 - e. A procedure for the management of security incidents.
6. **Set of documents related to the process of security risk analysis, including:**
 - a. A procedure for information security risk assessment, compatible with ISO / IEC 27005:2011;
 - b. A report on the security risk analysis regarding the information system taken as an example developed. The report on needs should be produced as a result of the implementation of the established procedure regarding the assessment of security risks.

Timeframe: weeks 10-11

7. **Set of documents related to the continuity of the institution's information system, including:**

- a. A Policy of management of backup copies (a list of relevant information resources and backup procedures applicable to each resource needs to be attached to the Policy);
- b. A plan for continuity and restoration of Information Systems (specific scenarios of continuity incidents, the impact of their production, the measures implemented to prevent and be prepared, as well as the procedures to be applied by the institution with a view to reacting and restoring affected systems, need to be attached to the Plan).

Timeframe: weeks 12-14

Requirements regarding the working language and the conducted trainings

All project documentation will be developed in Romanian. Communication with the Project for the assistance of the Parliament will be conducted in English.

The training will be held in the Romanian language, for a maximum of 50 persons from Parliament of Moldova Secretariat.

Management Arrangements

The contractor will work under the guidance of the IT national consultant, hired by UNDP Parliament Project, to support the implementation of ICT Strategy 2011-2015. In the same time, the contractor will work in close cooperation with UNDP's counterpart at the Parliament of Moldova and with the Parliament Project Chief Technical Advisor – for substantive aspects of the assignment, under the direct supervision of the Project Manager – for administrative aspects, and in consultation with IT National Consultant – for technical aspects. The contractor will report to the IT consultant, Parliament appointed representative and the Project Manager.

(d) REPORTS

The contracted company will deliver within 5 days after the completion of the assignments for each stage all the related documents for the respective stage specified in the Deliverables section.

At the end of the project, a final report will be prepared containing a summary of all activities within the project. The report should present the way in which the project objectives have been observed and achieved. It will also highlight the deliverables and the way these can be evaluated by the Parliament, as well as the recommendations for the maintenance and continuous improvement of the information security management system within the Parliament of the Republic of Moldova.

All the documents will be delivered in print format (2 copies) and electronic format on CD / DVD (2 copies).

(e) ELIGIBILITY

Interested organizations should meet the following minimum qualification criteria:

- 1.** Legally registered entity;
- 2.** The project team presented by the bidder will meet the following requirements:
 - All project team members must have a good professional reputation and have sufficient experience in the field (at least 5 years for the team leader and at least 3 years for the team members);
 - The project team which includes people with experience in working in the central public authorities of Moldova is an advantage;
 - At least one person in the project team must be competent in the field of information security proven via specially recognized certifications. Internationally recognized certifications in the field will be considered as an advantage.

PRICE SCHEDULE/FINANCIAL PROPOSAL

The Contractor is asked to prepare the Price Schedule/financial proposal and submit it in a separate envelope from the rest of the RFP response as indicated in Section D paragraph 15 (b) of the Instruction to Offerors.

All prices/rates quoted must be exclusive of all taxes, since the UNDP is exempt from taxes as detailed in Annex II, Clause 18. '

The Price Schedule/financial proposal must provide a detailed cost breakdown. Provide separate figures for each functional grouping or category.

Estimates for cost-reimbursable items, if any, such as travel, and out of pocket expenses should be listed separately.

In case of an equipment component to the service provided, the Price Schedule should include figures for both purchase and lease/rent options. The UNDP reserves the option to either lease/rent or purchase outright the equipment through the Contractor.

The format shown on the following pages should be used in preparing the price schedule. The format includes specific expenditures, which may or may not be required or applicable but are indicated to serve as examples.

In addition to the hard copy, if possible please also provide the information in electronic format on CD.

Price Schedule*:					
Request for Proposals for developing the Information Security Policy of the Parliament of Moldova					
Description of Activity/Item		No of persons /units	No of days/month /units	Rate per day/month/unit	Total Fee per Assignment, MDL
1.	Remuneration				
1.1	Task Manager				
1.2	Experts				
	<i>Sub-total 1</i>				
2.	Out of Pocket Expenses				
2.1	Travel				
2.2	Communications				
2.3	Reproduction and Reports				
	<i>Sub-total 2</i>				
3.	Other costs (please specify)				
3.1					
	<i>Sub-total 3</i>				
GRAND TOTAL (Sub-total 1+Sub total 2+Sub-total 3)					MDL

*Additional budget details explaining the calculations are welcomed.